



Multi-agent Coordination using Distributed Constraint Optimization and Auction-based Techniques

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AlLab - ONERA/DTIS, Université de Toulouse

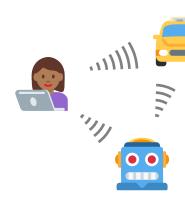
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Multi-Agent Systems and Distributed Artificial Intelligence

- Agent: An entity that behaves autonomously in the pursuit of goals
- Multi-agent system: A system of multiple interacting agents

An agent is ... -

- Autonomous: Is of full control of itself
- Interactive: May communicate with other agents
- Reactive: Responds to changes in the environment or requests by other agents
- Proactive: Takes initiatives to achieve its goals







Decision Making





Decision Making

 x_i ?





Mono-Agent Decision Making

 x_i ?

« I'm satisfied with \boldsymbol{x}_i »





Multi-Agent Decision Making

 x_i ?

« I'm satisfied with x_i »

 x_j ?

 $\mbox{``agent i agrees with agent j "}$



Multi-Agent Decision Making

 x_i ?

 x_j ? « agent i agrees with agent j »

How agents can make their decisions in an autonomous and coordinated manner?





Multi-Agent Decision Making

 x_i ? x_j ? x_j % agent i agrees with agent j »

How agents can make their decisions in an autonomous and coordinated manner?

⇒ Cooperative decentralized decision making





Focus on Cooperative Settings

Decentralized Decision Making

- Agents have to coordinate to perform best actions
- Cooperative settings
 - Agents form a team → best actions for the team

Sample Applications -

- Surveillance (target tracking, coverage)
- Robotics (cooperative exploration)
- Autonomous vehicles (cooperative traffic management)
- Scheduling (meeting scheduling, EOS scheduling)
- Rescue Operation (task assignment)











If cooperative, why not centralizing decision making?





If cooperative, why not centralizing decision making?





If cooperative, why not centralizing decision making?

 \Rightarrow autonomy ($\stackrel{\triangle}{=}$) + privacy ($\stackrel{\triangle}{=}$)

Why distribution might not be sufficient?





If cooperative, why not centralizing decision making?

⇒ autonomy (♠) + privacy (♠)

Why distribution might not be sufficient?

⇒ autonomy (♠) + privacy (♠) + robustness (♥)





If cooperative, why not centralizing decision making?

⇒ autonomy (♠) + privacy (♠)

Why distribution might not be sufficient?

⇒ autonomy (a) + privacy (a) + robustness (□)

Decentralization





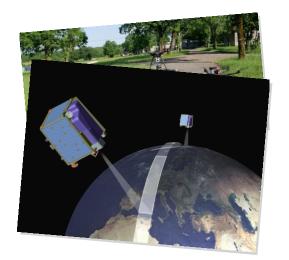




























Expected Takeaway

- Modeling frameworks
- Algorithms
- Illustrative problems and applications





Today's Menu

- 1 Introduction
- 2 Multi-Robot Task Allocation
- 3 Coordinating using Distributed Constraint Optimization
- 4 Coordinating using Auctions
- 5 Illustration 1: Constellation Management
- 6 Illustration 2: On-demand Transport
- 7 Illustration 3: Unmanned Aircraft System Traffic Management
- 8 Conclusions





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Definition

Definition (MRTA)

- A set of **agents** (robots, satellites, etc.), $R = \{r_1, \dots, r_{|R|}\}$ with capabilities
- A set of **tasks**, $T = \{t_1, \dots, t_{|T|}\}$, with time-related and operation constraints and requirements
- Find an assignment of tasks to agents, wrt. some consistency constraints
 - e.g. capabilities, dependencies between tasks, resource capacity, plan consistency

whilst optimizing some specific objective

e.g. completion time, energy



Mission CADRE - @NASA

Who does what (when and in what order)?





Simple Problem Formulation

$$\begin{aligned} \max_{\mathbf{x}} \quad & \sum_{i=1}^{n} \sum_{j=1}^{n} u_{ij} x_{ij} \\ \text{subject to} \quad & \sum_{j=1}^{m} x_{ij} \leq 1, \quad \forall i \in \{1,...,n\} \\ & \sum_{i=1}^{n} x_{ij} \leq 1, \quad \forall j \in \{1,...,m\} \\ & x_{ij} \in \{0,1\}, \quad \forall i,j \\ \end{aligned}$$
 with u_{ij} utility for robot i executing task j , $\forall i,j$



Simple Problem Formulation

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NP-hard, requires advanced optimization methods





Classification and Solution Methods

Classification

[SHIROMA and CAMPOS, 2009]

- Instantaneous (IA) vs. Time-Extended (TA) Allocation
- Single-Type (ST) vs. Multi-Type (MT) Robot Scenarios
- Single-Task (SR) vs. Multi-Task (MR) Request Scenarios

Solution Methods

[CHAKRAA et al., 2023; SHELKAMY et al., 2020]

- Integer Linear Programming (ILP)
- Metaheuristics (e.g., Simulated Annealing, Genetic Algorithms)
- Distributed and Decentralized Approaches
 [QUINTON et al., 2023]
- Machine Learning-based Methods







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Classification and Solution Methods

Classification

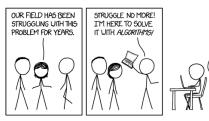
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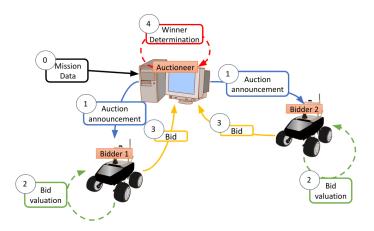


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Distributed and Decentralized Algorithms



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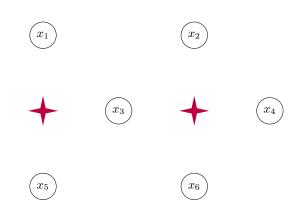


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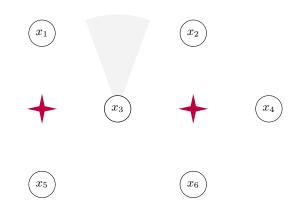






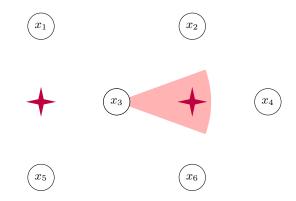






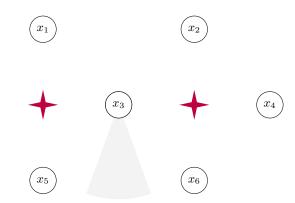






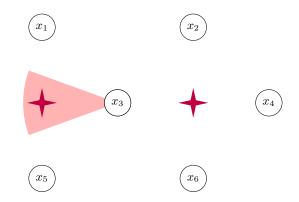








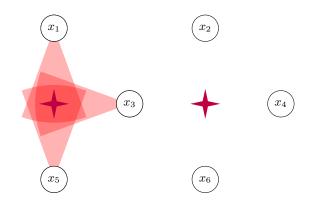








Sensor networks



x_1	x_3	x_5	Sat?
N	N	N	X
N	N	E	Х
			Х
S	W	N	✓
			Х
W	W	W	Х

Model the problem as a CSP!



Constraint Satisfaction

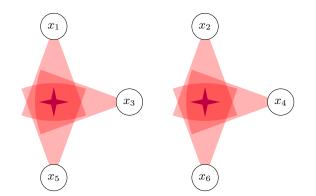
- Variables $X = \{x_1, \ldots, x_n\}$
- Domains $D = \{D_1, \ldots, D_n\}$
- Constraints $C = \{c_1, \ldots, c_m\}$ where a constraint $c_i \subseteq D_{i_1} \times D_{i_2} \times \ldots \times D_{i_n}$ denotes the possible valid joint assignments for the variables $x_{i_1}, x_{i_1}, \ldots, x_{i_n}$ it involves
- Goal: Find an assignment to all variables that satisfies all the constraints





CSP

Constraint Satisfaction



x_1	$x_3 \mid x_5$		Sat?
N	N N		Х
N	N	Е	Х
	Х		
S	W	N	✓
			Х
W	W	W	Х

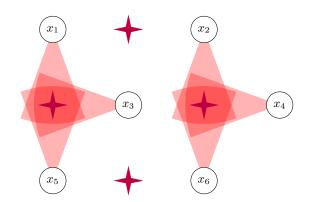
Model the problem as a CSP!





Max-CSP

Max Constraint Satisfaction



x_1	x_3	x_5	Sat?
N	N	N	Х
N	N	Е	Х
			Х
S	W	N	✓
			Х
W	W	W	Х

Model the problem as a Max-CSP!



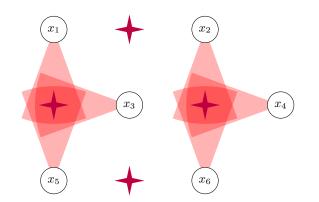
- Variables $X = \{x_1, \dots, x_n\}$
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- Constraints $C = \{c_1, \ldots, c_m\}$ where a constraint $c_i \subseteq D_{i_1} \times D_{i_2} \times \ldots \times D_{i_n}$ denotes the possible valid joint assignments for the variables $x_{i_1}, x_{i_1}, \ldots, x_{i_n}$ it involves
- Goal: Find an assignment to all variables that satisfies a maximum number of constraints





Max-CSP

Max Constraint Satisfaction



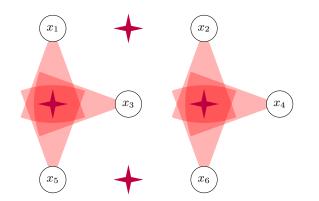
x_1	x_3	x_5	Sat?
N	N	N	Х
N	N	E	X
			X
S	W	N	✓
			Х
W	W	W	X

Model the problem as a Max-CSP!



WCSP (or COP)

Constraint Optimization



x_1	x_3	x_5	Cost
N	N N		∞
N	N E		∞
S	W	N	10
	∞		
W	W	W	∞

Model the problem as a COP!



WCSP (or COP)

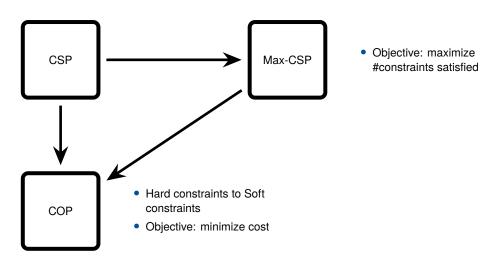
Constraint Optimization

- Variables $X = \{x_1, \ldots, x_n\}$
- Domains $D = \{D_1, \ldots, D_n\}$
- Constraints $C = \{c_1, \dots, c_m\}$ where a constraint $c_i : D_{i_1} \times D_{i_2} \times \dots \times D_{i_n} \to \mathbb{R}_+ \cup \{\infty\}$ expresses the degree of constraint violation
- Goal: Find an assignment to all variables that minimizes the sum of all the constraints





Constraint Reasoning

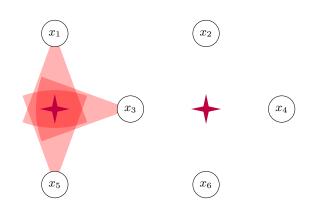






WCSP (or COP)

Constraint Optimization



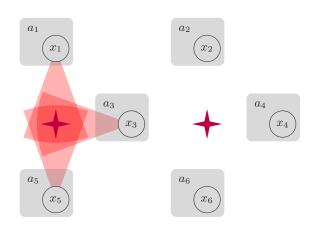
Imagine that each sensor is an autonomous agent

How should this problem be modeled and solved in a decentralized manner?





Distributed Constraint Optimization [Modi et al., 2005]



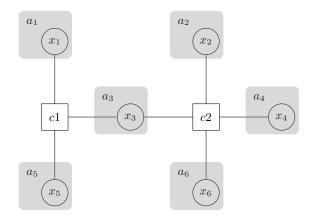
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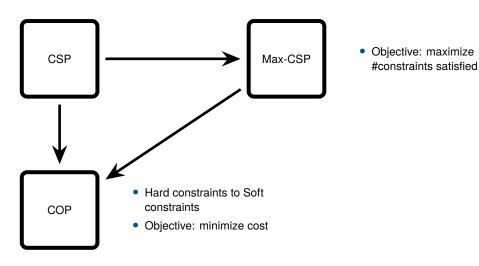


- Agents $X = \{a_1, ..., a_l\}$
- Variables $X = \{x_1, \ldots, x_n\}$
- Domains $D = \{D_1, \ldots, D_n\}$
- Constraints $C = \{c_1, \ldots, c_m\}$
- Mapping of variables to agents
- Goal: Find an assignment to all variables that minimizes the sum of all the constraints





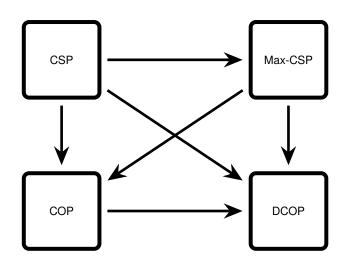
Distributed Constraint Optimization [Modi et al., 2005]







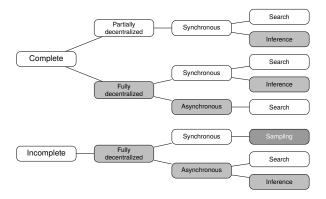
Distributed Constraint Optimization [Modi et al., 2005]



- Variables are controlled by agents
- Communication model
- Local knowledge



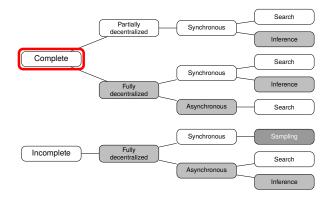
See [FIORETTO et al., 2018]







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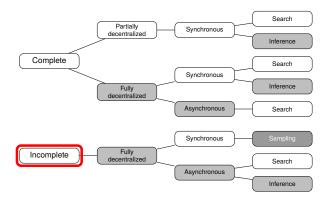


Important metrics

- Agent complexity
- Network loads
- Message size



See [FIORETTO et al., 2018]



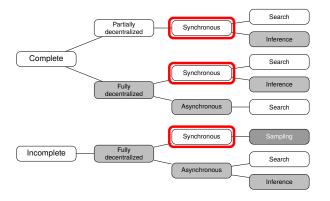
Important metrics

- Agent complexity
- Network loads
- Message size

- Anytime
- Quality guarantees
- Execution time vs. solution quality



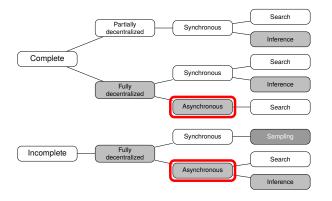
See [FIORETTO et al., 2018]



- Systematic process, divided in steps
- Each agent waits for particular messages before acting
- Consistent view of the search process
- Typically, increases idle-time



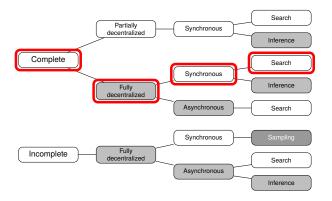
See [FIORETTO et al., 2018]



- Decision based on agents' local state
- Agents' actions do not depend on sequence of received messages
- Minimizes idle-time
- No guarantees on validity of local views



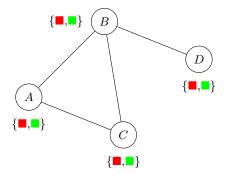
See [FIORETTO et al., 2018]



Synchronous Branch-and-Bound (SBB)

[Нівачама and Уокоо, 1997]

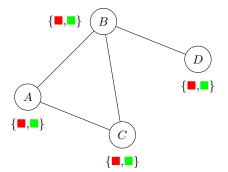




<i>m</i> .		(A D)	(10)	(P,C)	(P,C)
x_i	x_j	(A,B)	(A,C)	(B,C)	(B,C)
		5	5	5	3
		8	10	4	8
		20	20	3	10
		3	3	3	3



[HIRAYAMA and Yokoo, 1997]



	x_i	x_j	(A,B)	(A,C)	(B,C)	(B,C)
ı			5	5	5	3
ı			8	10	4	8
			20	20	3	10
			3	3	3	3

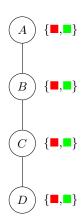
How do we solve this distributedly?



[HIRAYAMA and Yokoo, 1997]

- · Agents operate on a complete ordering
- Agents exchange CPA messages containing partial assignments
- When a solution is found, its solution cost as an UB is broadcasted to all agents
- The UB is used for branch pruning

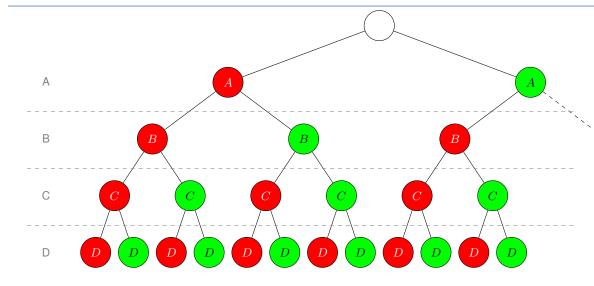
Complete ordering





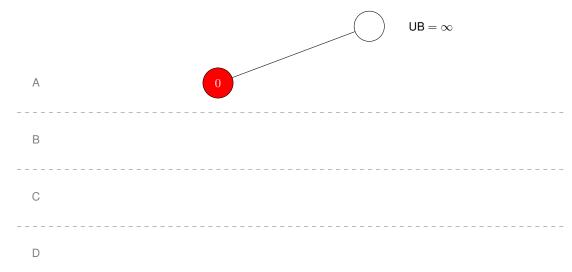


[HIRAYAMA and YOKOO, 1997]



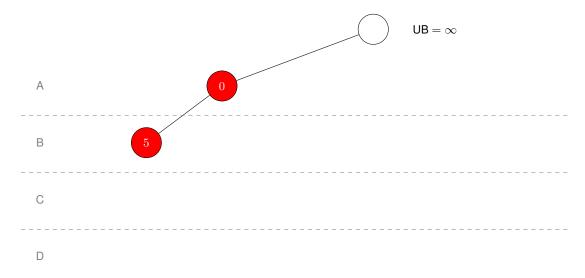






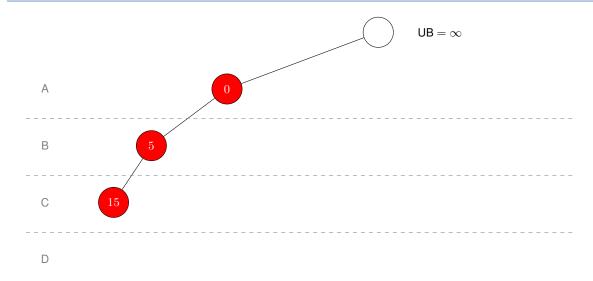






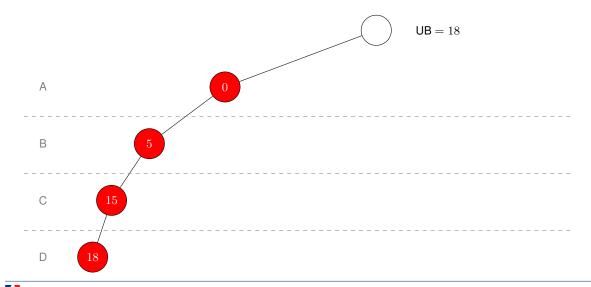








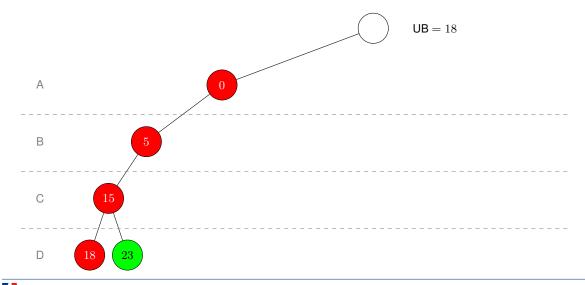




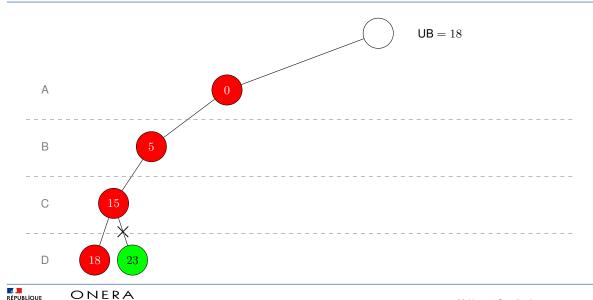




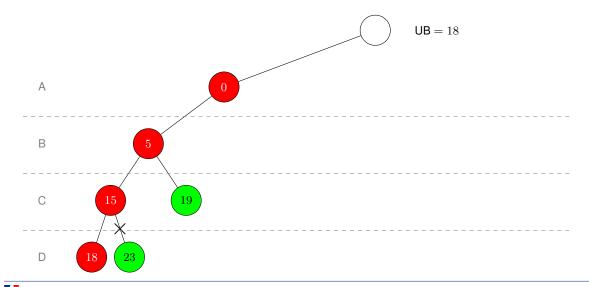
[HIRAYAMA and YOKOO, 1997]

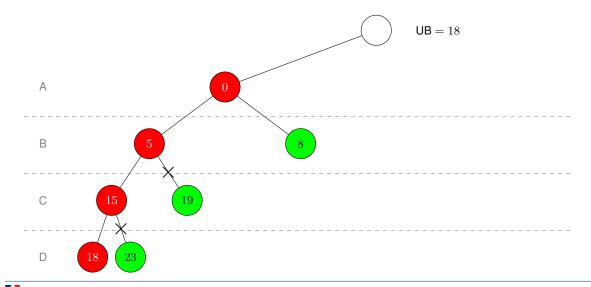


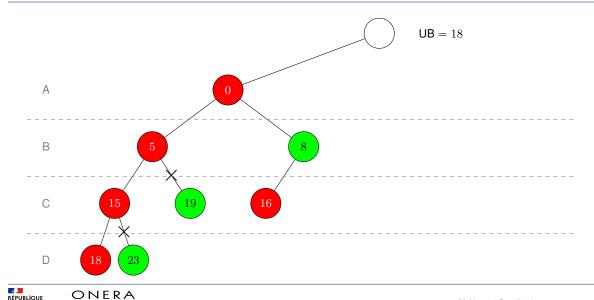






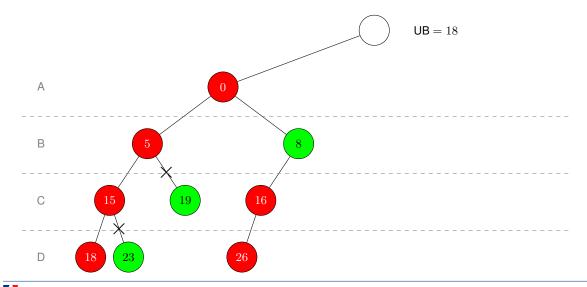




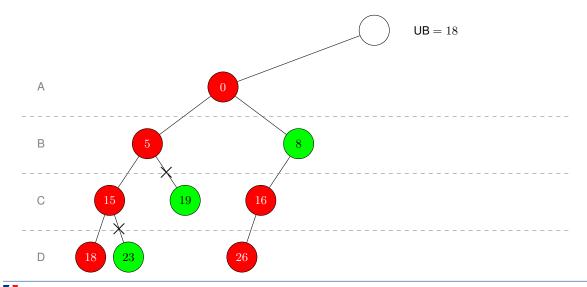




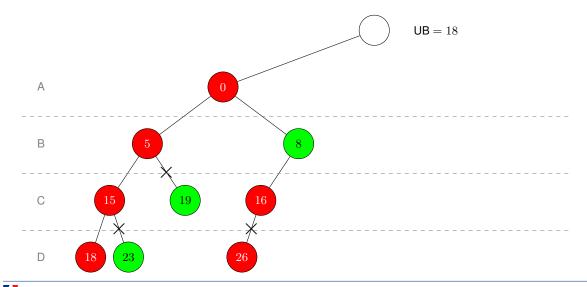














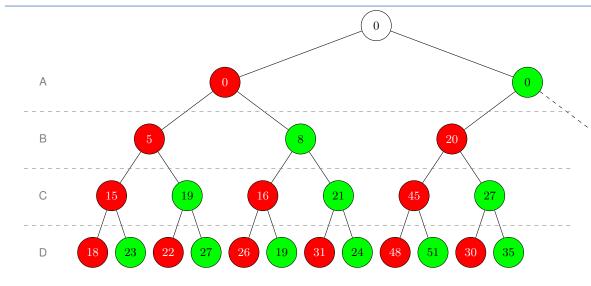
[HIRAYAMA and Yokoo, 1997]

	SBB
Correct	Yes
the solution it finds is optimal	163
Complete	Yes
it terminates	
Message complexity	$\mathcal{O}(d)$
max size of messages	
Network load	$\mathcal{O}(b^d)$
max number of messages	
Runtime	$\mathcal{O}(b^d)$
how long it takes	

branching factor = bnum variables = d



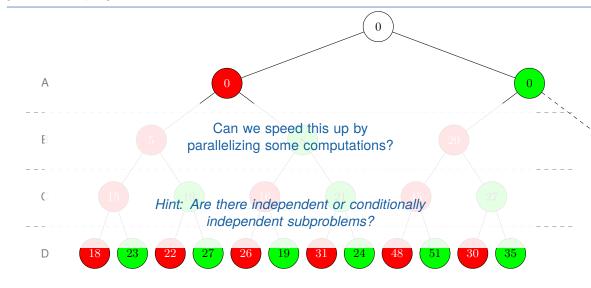
[HIRAYAMA and YOKOO, 1997]







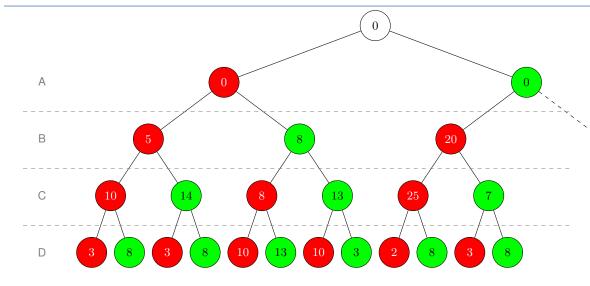
[HIRAYAMA and Yokoo, 1997]







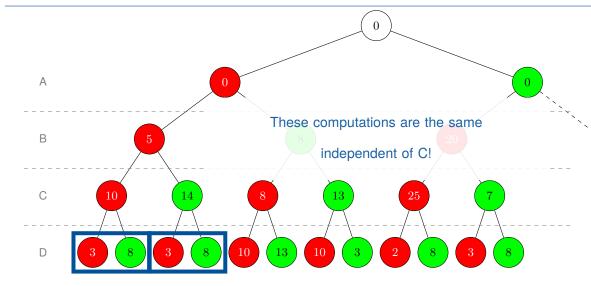
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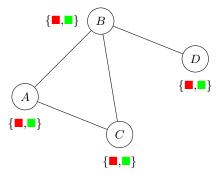


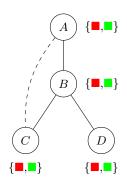
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Pseudo-Tree

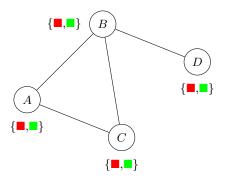


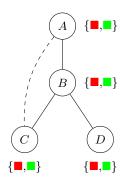






Pseudo-Tree





Definition (Pseudo-Tree)

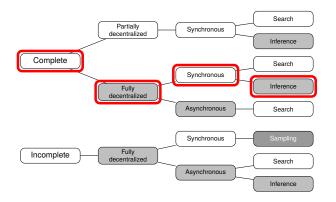
A spanning tree of the constraint graph such that no two nodes in sibling subtrees share a constraint in the constraint graph





DCOP Algorithms

See [FIORETTO et al., 2018]



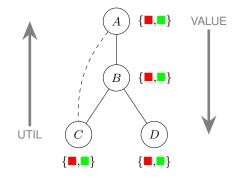
Distributed Pseudotree Optimization Procedure (DPOP)

[Adrian Percu and Boi Faltings, 2005]



[Adrian Percu and Boi Faltings, 2005]

- Extension of the Bucket Elimination (BE)
- Agents operate on a pseudo-tree ordering
- UTIL phase: Leaves to root
- VALUE phase: Root to leaves



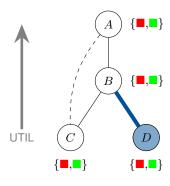


B	D	(B,D)
r	r	3
r	g	8
g	r	10
g	g	3

 $\min\{3,8\}=3$ $\min\{10,3\}=3$

Message to B

В	cost
r	3
g	3

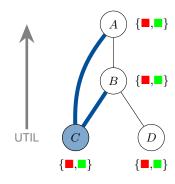




A	В	C	(B,C)	(A,C)	cost
r	r	r	5	5	10
r	r	g	4	8	12
r	g	r	3	5	8
r	g	g	3	8	11
g	r	r	5	10	15
g	r	g	4	3	7
g	g	r	3	10	13
g	g	g	3	3	6

Message to B

	A	B	cost		
	r	r	10		
	r	g	8		
	g	r	7		
	g	g	6		

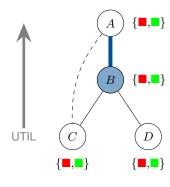




A	B	(A,B)	Util C	Util D	cost
r	r	5	10	53	18
r	g	8	8	3	19
g	r	20	7	3	30
g	g	3	6	3	12

Message to A

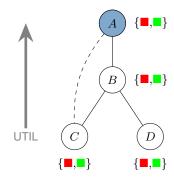
A	cost		
r	18		
g	12		





A	cost
r	18
g	12

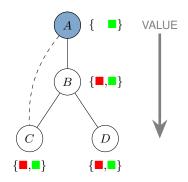
 ${\rm optimal\ cost}=12$





A	cost
r	18
g	12

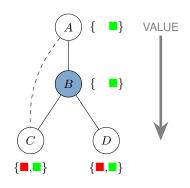
- Select value for A=g
- $\bullet\;$ Send MSG "A=g" to agents B and C





A	B	(A,B)	Util C	Util D	cost
r	r	5	10	53	18
r	g	8	8	3	19
g	r	20	7	3	30
g	g	3	6	3	12

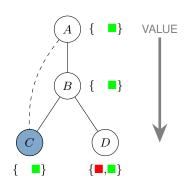
- Select value for B=g
- Send MSG "B = g" to agents C and D





A	В	C	(B,C)	(A,C)	cost
r	r	r	5	5	10
r	r	g	4	8	12
r	g	r	3	5	8
r	g	g	3	8	11
g	r	r	5	10	15
g	r	g	4	3	7
g	g	r	3	10	13
g	g	\boldsymbol{g}	3	3	6

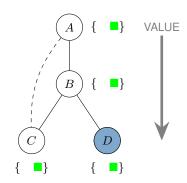
• Select value for C = g





	(B,D)	D	B
$min{3,8} = 3$	3	r	r
111111111111111111111111111111111111111	8	g	r
$min\{10, 3\} =$	10	r	g
11111(10, 0) =	3	g	g

• Select value for D = g





	SBB	DPOP	
Correct	Yes	Yes	
the solution it finds is optimal	163	163	
Complete	Yes	Yes	
it terminates	163	163	
Message complexity	$\mathcal{O}(d)$	$\mathcal{O}(b^d)$	
max size of messages	$\bigcup (a)$		
Network load	$\mathcal{O}(b^d)$	$\mathcal{O}(d)$	
max number of messages		$\bigcup (a)$	
Runtime	$\mathcal{O}(b^d)$	$\mathcal{O}(b^d)$	
how long it takes			

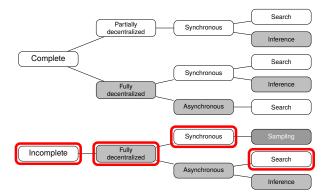
branching factor = bnum variables = d





DCOP Algorithms

See [FIORETTO et al., 2018]



Distributed Local Search

[Maheswaran et al., 2004; Weixiong Zhang et al., 2003]



Local Search Algorithms

- DSA: Distributed Stochastic Search [W. Zhang et al., 2005]
- MGM: Maximum Gain Messages Algorithm [MAHESWARAN et al., 2004]
- Note: we now maximize utilities
- Every agent individually decides whether to change its value or not
- Decision involves
 - · knowing neighbors' values
 - calculation of utility gain by changing values
 - probabilities

A—	(B)	- C
{■,■}	{■,■}	{■,■}

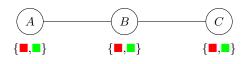
x_i	x_j	(A,B)	(B,C)
		5	5
		5	0
		0	0
		8	8



- · All agents execute the following
 - Randomly choose a value
 - while (termination is not met)
 - if (a new value is assigned): send the new value to neighbors
 - · collect neighbors' new values if any
 - select and assign the next value based on assignment rule



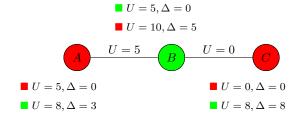




x_i	x_j	(A, B)	(B,C)
		5	5
		5	0
		0	0
		8	8



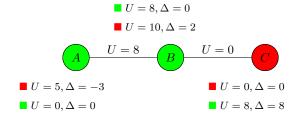




x_i	x_j	(A,B)	(B,C)
		5	5
		5	0
		0	0
		8	8



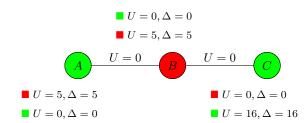




x_i	x_j	(A,B)	(B,C)
		5	5
		5	0
		0	0
		8	8



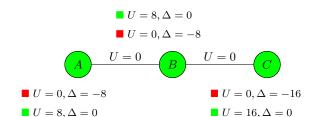




x_i	x_j	(A,B)	(B,C)
		5	5
		5	0
		0	0
		8	8







x_i	x_j	(A,B)	(B,C)
		5	5
		5	0
		0	0
		8	8





MGM Algorithm

[MAHESWARAN et al., 2004]

- All agents execute the following
 - Randomly choose a value
 - while (termination is not met)
 - if (a new value is assigned): send the new value to neighbors
 - · collect neighbors' new values if any
 - · calculate gain and send it to neighbors
 - collect neighbors' gains
 - if (it has the highest gain among all neighbors): change value to the value that maximizes gain





MGM Algorithm

[MAHESWARAN et al., 2004]

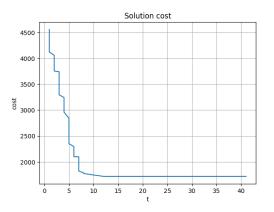
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Large Great if you need an anytime algorithm!





MGM vs DSA



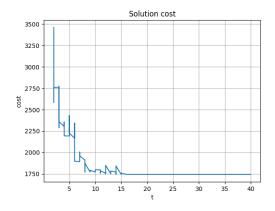


Figure: MGM Figure: DSA



Extensions to the DCOP Framework

- Dynamic DCOPs
 - SDPOP [A. Petcu and B. Faltings, 2005], I-ADOPT and I-BnB-ADOPT [Yeoh et al., 2011], FMS [Ramchurn et al., 2010]
- Multi-Objective DCOPs
 - MO-SBB [Medi et al., 2014], Pseudo-tree Based Algorithm [Marsul et al., 2012], B-MOMS [Delle Fave et al., 2011], DP-AOF [OKIMOTO et al., 2013]
- Asymetric DCOPs
 - SyncABB-2ph, SyncABB-1ph, ACLS, MCS-MGM [GRINSHPOUN et al., 2013]
- Probabilistic DCOPs
 - $\mathbb{E}[\mathsf{DPOP}]$ and SD-DPOP [Léauté and B. Faltings, 2011; Nguyen et al., 2012], U-GDL [Stranders et al., 2011]
- Continuous DCOPs
 - CMS [STRANDERS et al., 2009], HCMS [Voice et al., 2010], PFD [CHOUDHURY et al., 2020], EC-DPOP, AC-DPOP, CAC-DPOP, C-DSA [Hoang et al., 2020], C-CoCoA [SARKER et al., 2021]
- ..





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What are Auctions?

- Competitive bidding processes for allocating goods or services
- Buyers submit bids, highest bid wins
- Different auction schemes exist (e.g., English, Dutch, sealed-bid)













What are Auctions?

- Competitive bidding processes for allocating goods or services
- Buyers submit bids, highest bid wins
- Different auction schemes exist (e.g., English, Dutch, sealed-bid)
- Single item vs. Multiple items















Classical Protocol









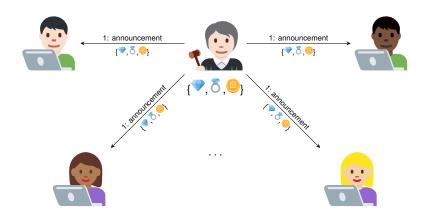








Classical Protocol





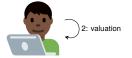


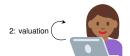
Classical Protocol

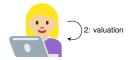




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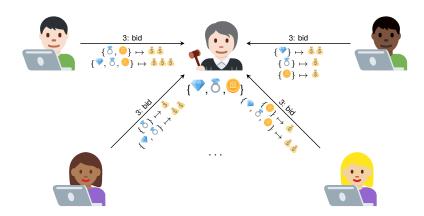








Classical Protocol







Classical Protocol





4: WDP





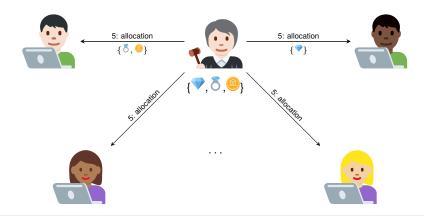








Classical Protocol







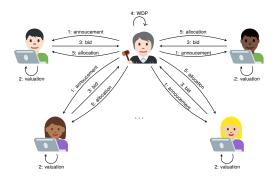
Simple Formulation of Winner Determination Problem (WDP)

- $\mathcal{T} = \{t_1, t_2, ..., t_m\}$ the set of goods to be auctioned
- $\mathcal{A} = \{a_1, a_2, ..., a_n\}$ the set of bidders
- $\mathcal{B} = \{b_1, b_2, ..., b_k\}$ the set of bid combinations (bundles)
- $y_{ik} \in \{0,1\}$ indicates whether bundle b_k is allocated to bidder a_i
- ullet c_{ik} the price offered by bidder a_i for bundle s_k

$$\begin{aligned} & \max & & \sum_{a_i \in \mathcal{A}} \sum_{b_k \in \mathcal{S}} c_{ik} y_{ik} \\ & \text{s.t.} & & \sum_{a_i \in \mathcal{A}} \sum_{b_k \subseteq \mathcal{T}, t_j \in b_k} y_{ik} \leq 1, & & \forall t_j \in \mathcal{T} \\ & & & \sum_{b_k \subseteq \mathcal{T}} y_{ik} \leq 1, & & \forall a_i \in \mathcal{A} \end{aligned}$$



Many auction schemes [Parsons et al., 2011]



Combinatorial Auctions (CA)

[CRAMTON et al., 2010]

Parallel Single Item Auctions (PSI)

[Koenig et al., 2006]

- Each agent bids on the whole set of items in parallel
- Sequential Single Item Auctions (SSI)

[LAGOUDAKIS et al., 2005]

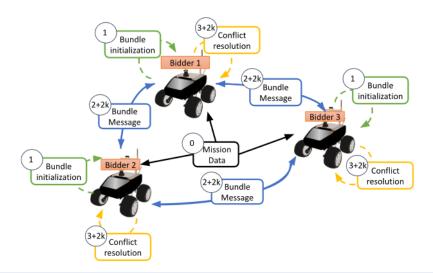
- Each agent sequentially bids on a single item wrt to the already allocated items
- Consensus-based Bundle Auction (CBBA)

[Сноі et al., 2009]

• WDP decentralized as a consensus on bundles



[CHOI et al., 2009]







How does it work?



















How does it work?

Bundle Construction

- Each agent creates bundles of tasks it can complete
- May include dependent tasks



















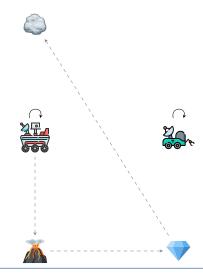
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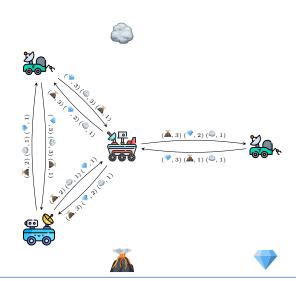
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- Agents bid on bundles based on their utility
- Messages sent to neighbors
- e.g. completion time, preferences







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- e.g. valuation and time stamps







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How does it work?

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Bidding

- Agents bid on bundles based on their utility
- Messages sent to neighbors
- e.g. completion time, preferences

Conflict Resolution

- Conflicting bids are adjusted/removed
- e.g. valuation and time stamps

Allocation

- Winning bundles are allocated
- Agents execute the tasks in their assigned bundles











Advantages of CBBA

- Decentralized: No central authority required, enabling robust operation in dynamic environments
- Scalable: Efficiently handles large numbers of agents and tasks
- Flexible: Can be adapted to different task allocation problems and objective functions



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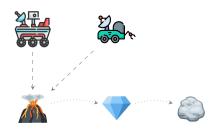




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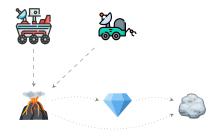




Advantages of CBBA

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- How to handle alternative sequences/modes?







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Sample system: Constellation of Agile Earth Observation Satellites (EOS)



- Multiple satellites, potentially operated by multiple partners
- Heterogenous orbits and sensors





Observing Earth using Agile Satellites



Agile satellites: can image targets about-track and along-track

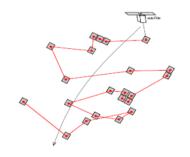
- Equipped with imaging instrument(s) to gather data about **ground targets**

Given a set of obervation tasks, select and optimally schedule a subset of tasks to perform under the constraints given by the **position** and the **agility** of the EOS





Single Satellite Problem



The Earth Observation Scheduling Problem (or EOSP) consists in finding a sequence of observations $\sigma = [\sigma_1, \dots, \sigma_K]$ such that:

- ullet each candidate observation at most once in σ
- the successive observations can be performed during the allowed time windows; formally, the earliest start time of the first observation is $s_{\sigma_1} = S_{\sigma_1}$, the earliest start time of the kth observation is given by $s_{\sigma_k} = \max(S_{\sigma_k}, s_{\sigma_{k-1}} + tt(\sigma_{k-1}, \sigma_k, s_{\sigma_{k-1}}))$, and condition $s_{\sigma_k} \leq E_{\sigma_k}$ must be satisfied for every observation σ_k involved in σ
- the total reward collected ($\sum_{i \in \sigma} Rw_i$) is maximized
- Agile EOS scheduling problem can be mapped to TD-OP-TW [SCHMID and EHMKE, 2017]
- TD-OP-TW is NP-hard [GOLDEN et al., 1987]
- Common solution methods: ant colony optimization [VERBEECK et al., 2017], iterated local search [GARCIA et al., 2010], or large neighborhood search (LNS) [SCHMID and EHMKE, 2017]





Multi-Satellite Problems [PRALET, 2025]







Multi-Satellite Problems [PRALET, 2025]

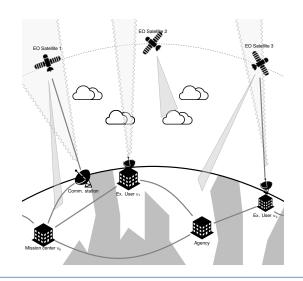






Inter-Exclusive Coordinated Scheduling

- We focus here on collective observation scheduling on a constellation where some users have exclusive access to some orbit portions
- Answer to strong user expectations to benefit both from a shared system (to reduce costs) and a proprietary system (total control and confidentiality)

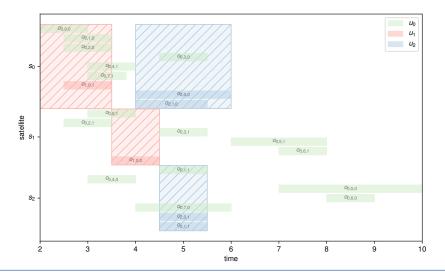






Scheduling Observations on an EOS Constellation

Illustrative Example

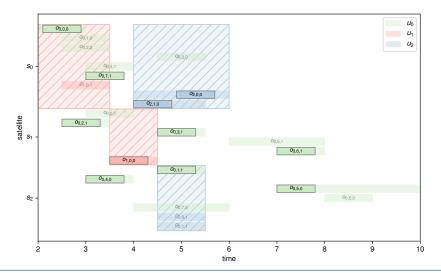






Scheduling Observations on an EOS Constellation

Illustrative Example







The Problems Behind

- How to coordinate exclusive user plans, without disclosing private plans, whilst meeting system constraints (memory, energy, etc.)
- How to couple private and non-private observations as to maximize the system cost-efficiency?





EOSCSP Model [PICARD, 2022a]

Earth Observation Satellite Constellation Scheduling with Exclusives Problem is a tuple

$$P = \langle \mathcal{S}, \mathcal{U}, \mathcal{R}, \mathcal{O} \rangle$$

- $\mathcal{S}=\{s=\langle t_s^{\text{start}}, t_s^{\text{end}}, \kappa_s, \tau_s \rangle \}$ is a set of satellites
- $\mathcal{U} = \{u = \langle e_u, p_u \rangle\}$ is a set of users
- $\mathcal{R}=\{r=\langle t_r^{\text{start}}, t_r^{\text{end}}, \Delta_r, \rho_r, p_r, u_r, \theta_r \rangle \}$ is a set of requests
- $\mathcal{O} = \{o = \langle t_o^{\text{start}}, t_o^{\text{end}}, \Delta_o, r_o, \rho_o, s_o, u_o, p_o \rangle \}$ is a set of observation opportunities

A solution to an EOSCSP is a mapping $\mathcal{M} = \{(o,t) \mid o \in \mathcal{O}, t \in [t_o^{\text{start}}, t_o^{\text{end}}]\}$

s.t. the overall reward is maximized (sum of the rewards of the scheduled observations):

 $\operatorname{argmax}_{\mathcal{M}} \sum_{(o,t) \in \mathcal{M}} \rho_o$









Centralized allocation





 $\underset{\pi_{s,o}}{\text{maximize}} \quad \sum_{o \in O, s \in S} \rho_{o} x_{s,o}$ $2-\beta_{s,o,p}-\beta_{s,p,o}\geq x_{s,o}$ $2-\beta_{s,o,p}-\beta_{s,p,o}\geq x_{s,p}$ $\beta_{s,o,p} + \beta_{s,p,o} \le 3 - x_{s,o} - x_{s,p}$ $\beta_{s,o,p} + \beta_{s,p,o} \le 1$ $t_{x,p} = t_{x,o} \geq \tau_x(o,p) + \Delta_o = \Delta_{x,o,p}^{\max} \beta_{x,o,p} \qquad \forall s \in S, \forall o,p \in \mathcal{O}, o \neq p, \text{s.t.} \Delta_{x,o,p}^{\max} > 0$ $t_{s,o} - t_{s,p} \geq \tau_s(p,o) + \Delta_p - \Delta_{s,p,o}^{\max} \beta_{s,p,o} \qquad \forall s \in \mathcal{S}, \forall o, p \in \mathcal{O}, o \neq p, \text{S.t.} \Delta_{s,p,o}^{\max} > 0$ $\sum_{\sigma \in \mathcal{O}} x_{s,\sigma} \leq \kappa_s$ $\sum_{o \in \theta(\tau)} x_{s,o} \le 1$ $\forall r \in \mathcal{R}$ $x_{s,o} \in \{0,1\}$ $\forall s \in \mathcal{S}, \forall o \in \mathcal{O}$ $t_{s,o} \in [t_o^{\mathsf{start}}, t_o^{\mathsf{end}}] \subset \mathbb{R}$ $\beta_{4,0,p} \in \{0,1\}$ $\forall a \in \mathcal{S}, \forall o \in \mathcal{O}$ with $\Delta_{s,o,p}^{\text{max}} = t_o^{\text{end}} - t_p^{\text{start}} + \Delta_o + \tau^s(o,p)$ $\forall s \in S, \forall o, p \in O, o \neq p$ (12)

- Centralized allocation
 - Exact solving (e.g. MILP), but won't scale-up



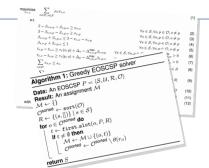


- Centralized allocation
 - Exact solving (e.g. MILP), but won't scale-up
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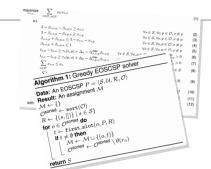


- Centralized allocation
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 - Heuristic solving (e.g. greedy)
 - x private plan disclosure



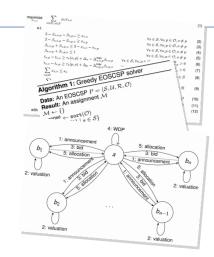


- Centralized allocation
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 - Heuristic solving (e.g. greedy)
 - private plan disclosure
- Distributed allocation





- Centralized allocation
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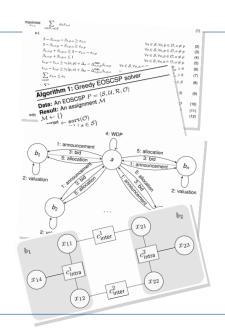






How to Solve EOSCSPs?

- Centralized allocation
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 - Heuristic solving (e.g. greedy)
 - private plan disclosure
- Distributed allocation
 - Auctions (e.g. PSI, SSI, CBBA)
 - Auctions (e.g. FSI, SSI, CBBA
 - Distributed optimization (e.g. DCOPs)



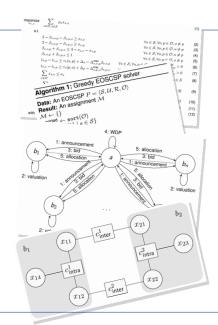




How to Solve EOSCSPs?

- Centralized allocation
 - Exact solving (e.g. MILP), but won't scale-up
 - Heuristic solving (e.g. greedy)
 - x private plan disclosure
- Distributed allocation
 - Auctions (e.g. PSI, SSI, CBBA)

 - Distributed optimization (e.g. DCOPs)
 - ✓ plans remain private

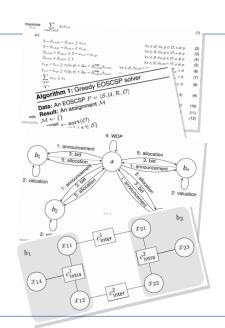






How to Solve EOSCSPs?

- Centralized allocation
 - Exact solving (e.g. MILP), but won't scale-up
 - Heuristic solving (e.g. greedy)
 - private plan disclosure
- Distributed allocation
 - Auctions (e.g. PSI, SSI, CBBA)
 - Distributed optimization (e.g. DCOPs)
 - ✓ plans remain private
 - ▲ requires some coordination/communication



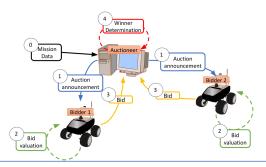




Focus on Resource/Task Allocation

Many application fields, as Collective Robotics, make use of market-based approach to allocate tasks/resources to robots

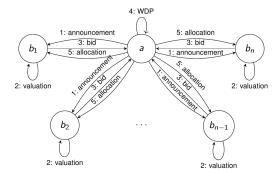
- A set of **resources** (robots, satellites, etc.), $R = \{r_1, \dots, r_{|R|}\}$
- A set of **tasks**, $T = \{t_1, \dots, t_{|T|}\}$, each having a time-related and operation constraints
- Find an allocation of tasks to resources, wrt. some consistency constraints
- ≈ multi-item allocation: each resource is allocated several tasks (bundle)







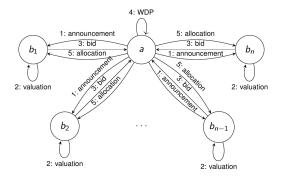
Allocating non exclusive observations to best exclusive portions





Allocating non exclusive observations to best exclusive portions

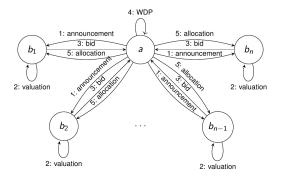
Auction-based approches are relevant for satellite task allocation [PHILLIPS and PARRA, 2021]



• Combinatorial Auctions (CA) [CRAMTON et al., 2010]



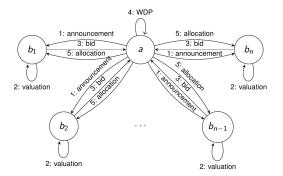
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- Combinatorial Auctions (CA) [CRAMTON et al., 2010]
- Parallel Single Item Auctions (PSI) [KOENIG et al., 2006]



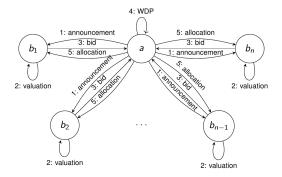
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- Combinatorial Auctions (CA) [CRAMTON et al., 2010]
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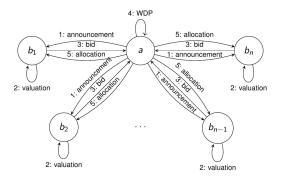
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 - Each agent bids on the whole set of tasks in parallel
- Sequential Single Item Auctions (SSI)
 [LAGOUDAKIS et al., 2005]



Allocating non exclusive observations to best exclusive portions

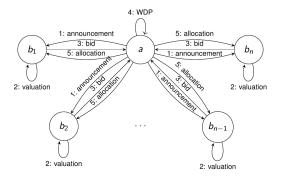


- Combinatorial Auctions (CA) [CRAMTON et al., 2010]
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 - Each agent bids on the whole set of tasks in parallel
- Sequential Single Item Auctions (SSI)
 - [LAGOUDAKIS et al., 2005]
 - Each agent sequentially bids on a single task wrt to the already allocated tasks



Allocating non exclusive observations to best exclusive portions

Auction-based approches are relevant for satellite task allocation [PHILLIPS and PARRA, 2021]



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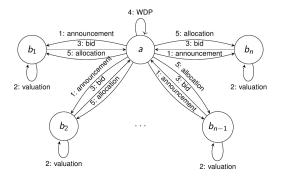
[LAGOUDAKIS et al., 2005]

- Each agent sequentially bids on a single task wrt to the already allocated tasks
- Consensus-based Bundle Auction (CBBA)
 [CHOI et al., 2009]



Allocating non exclusive observations to best exclusive portions

Auction-based approches are relevant for satellite task allocation [PHILLIPS and PARRA, 2021]



- Combinatorial Auctions (CA) [CRAMTON et al., 2010]
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- Each agent sequentially bids on a single task wrt to the already allocated tasks
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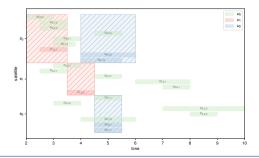
 Each agent bids on some bundle of tasks and converge to a consensus with other agents



Applying Auction-based Allocation to EOSCSP

General Scheme

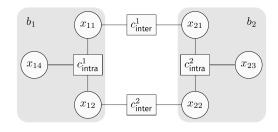
- 1 Identify non exclusive requests possibly fulfilled in exclusive portions
- 2 Send identified requests to exclusive users
- Solve the allocation problem using PSI, SSI or CBBA
 - Bids are computed as the best marginal costs of integrating requests in their current plans (which amounts to solve scheduling problems...)
- 4 Allocate as many remaining requests outside exclusive windows





Allocating non exclusive observations to best exclusive portions

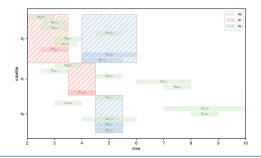
- Consider the collective decision for allocating non exclusive tasks to exclusive windows
- Collective decision to coordinate exclusive users' decisions modeled as a distributed constraint optimization problem (DCOP)
- As for auctions, exclusive users aim to minimizing the marginal cost of integrating non exclusive tasks in their schedule, while meeting some operational constraints





General Scheme

- 1 Identify non exclusive requests possibly fulfilled in exclusive windows
- ${f 2}$ Send each identified request r to exclusives users, one by one
- f 3 Solve the problem of r using a DCOP solution method (e.g. DPOP [Adrian Petcu and Boi Faltings, 2005])
 - Costs are computed as the best marginal cost of integrating requests in their current plan (which amounts to solve a scheduling problem...)
- 4 Allocate as many remaining requests outside exclusive windows







DCOP Model

A DCOP $\langle \mathcal{A}, \mathcal{X}, \mathcal{D}, \mathcal{C}, \mu \rangle$ is defined for a given request r, and a current scheduling





DCOP Model

A DCOP $\langle \mathcal{A}, \mathcal{X}, \mathcal{D}, \mathcal{C}, \mu \rangle$ is defined for a given request r, and a current scheduling

• The agents are the exclusive users which can potentially schedule *r*:

$$\mathcal{A} = \{u \in \mathcal{U}^{\text{ex}} | \exists (s, (t_u^{\text{start}}, t_u^{\text{end}})) \in e_u, \exists o \in \theta_r \text{ s.t. } s_o = s, [t_u^{\text{start}}, t_u^{\text{end}}] \cap [t_o^{\text{start}}, t_o^{\text{end}}] \neq \emptyset \} \quad \text{(1)}$$



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• Each agent u owns binary decision variables, one for each observation $o \in \mathcal{O}[u]^r$ and exclusive e in its exclusives e_u , stating whether it schedules o in e or not:

$$\mathcal{X} = \{x_{e,o} | e \in \bigcup_{u \in \mathcal{A}} e_u, o \in \mathcal{O}[u]^r\}$$
 (2)

$$\mathcal{D} = \{ \mathcal{D}_{x_{e,o}} = \{0, 1\} | x_{e,o} \in \mathcal{X} \}$$
 (3)



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• The agents are the exclusive users which can potentially schedule *r*:

$$\mathcal{A} = \{u \in \mathcal{U}^{\text{ex}} | \exists (s, (t_u^{\text{start}}, t_u^{\text{end}})) \in e_u, \exists o \in \theta_r \text{ s.t. } s_o = s, [t_u^{\text{start}}, t_u^{\text{end}}] \cap [t_o^{\text{start}}, t_o^{\text{end}}] \neq \emptyset \} \quad \text{(1)}$$

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(3)

with $\mathcal{O}[u]^r = \{o \in \theta r | \exists (s, (t_u^{\mathsf{start}}, t_u^{\mathsf{end}})) \in e_u, \text{ s.t. } s_o = s, [t_u^{\mathsf{start}}, t_u^{\mathsf{end}}] \cap [t_o^{\mathsf{start}}, t_o^{\mathsf{end}}] \neq \emptyset \}$ are observations related to request r that can be scheduled on u's exclusives

• μ associates each variable $x_{e,o}$ to e's owner



DCOP-based Coordination for EOSCSP (cont.)

DCOP Model

Constraints should check that at most one observation is scheduled per request (4), that satellites
are not overloaded (5), that at most one agent serves the same observation (6)

$$\sum_{e \in \bigcup_{u \in \mathcal{A}} e_u} x_{e,o} \le 1, \quad \forall u \in \mathcal{X}, \forall o \in \mathcal{O}[u]^r$$
(4)

$$\sum_{o \in \{o \in \mathcal{O}[u]^r \mid u \in \mathcal{A}, s_o = s\}, e \in \bigcup_{u \in \mathcal{A}} e_u} x_{e,o} \le \kappa_s^*, \ \forall s \in \mathcal{S}$$
 (5)

$$\sum_{e \in \bigcup_{u \in A} e_u} x_{e,o} \le 1, \quad \forall o \in \mathcal{O}$$
 (6)



DCOP-based Coordination for EOSCSP (cont.)

DCOP Model

• Constraints should check that at most one observation is scheduled per request (4), that satellites are not overloaded (5), that at most one agent serves the same observation (6)

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 (5)

$$\sum_{e \in \bigcup_{u \in A} e_u} x_{e,o} \le 1, \quad \forall o \in \mathcal{O}$$
 (6)

 The cost to integrate an observation in the current user's schedule should be assessed to guide the optimization process

$$c(x_{e,o}) = \pi(o, \mathcal{M}_{u_o}), \quad \forall x_{e,o} \in \mathcal{X}$$
(7)

where π evaluates the best cost obtained when scheduling o and any combination of observations from \mathcal{M}_{u_o} , as to consider all possible revisions of u_o 's current schedule

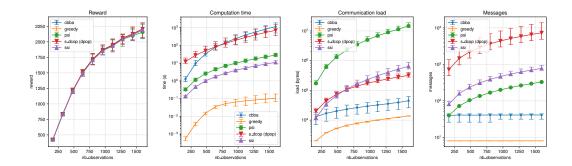
$$C = \{(4), (5), (6), (7)\} \tag{8}$$





Highly conflicting randomly generated problems

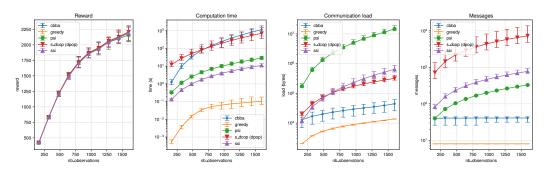
5-min horizon with overlapping requests and limited capacity





Highly conflicting randomly generated problems

5-min horizon with overlapping requests and limited capacity



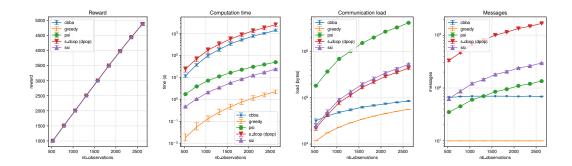
- ✓ cbba and s_dcop provide the best solutions wrt. reward
- ✓ cbba exchanges fewer messages of small size
- ✓ ssi remains the best compromise wrt. solution quality, computation time and communication load





Realistic randomly generated problems

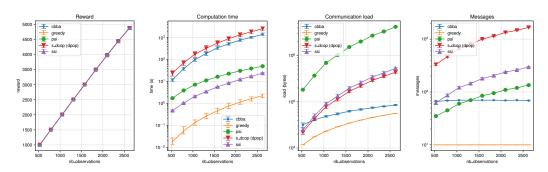
6-hour horizon with numerous requests and large capacity





Realistic randomly generated problems

6-hour horizon with numerous requests and large capacity



- ✓ cbba does require less time to compute than s_dcop
- √ s_dcop and cbba can perform many computation concurrently
- ⇒ There is room for computation speedup in real distributed settings





Where to find detailed info?

- Initial model definition [Picard, 2022a]
- Auction-based and DCOP-based solution methods [ibid.]
- More complex requests and decentralized auctions [PICARD, 2023a]
- Some data [Picard, 2023b]





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- 8 Conclusions





On-demand Transport

Mines Saint-Etienne [DAOUD et al., 2021a, 2020, 2021b,c,d, 2023], Renault Innovation

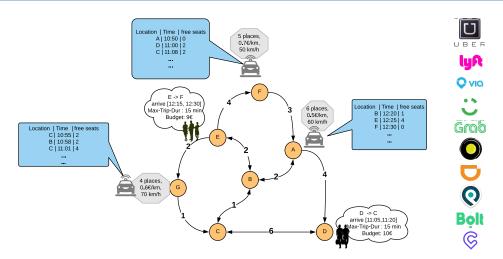


Figure: Dial A Ride Problem (DARP)





Existing Approaches

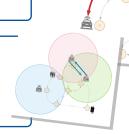
Centralized dispatch (conventional)

- Requests are gathered from a central portal
- Integer Linear Programming (ILP)
 - ⇒ NP-hard, lack of scalability
- Requires continuous access to the portal
 - \Rightarrow costly, bottleneck, single point of failure

Decentralized dispatch (experimental)

- Decentralized autonomous decisions
 - ⇒ Requires conflict detection and resolution protocols
- P2P communication
 - ⇒ Requires communication model to ensure information sharing









Contributions and Core Concepts

Generic Autonomous Agent Model

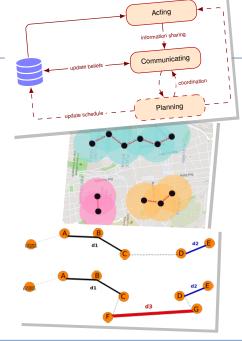
- · Adjustable autonomy level
- Adjustable cooperation level
- Adjustable and dynamic allocation scheme

Communication Model

- Transitive V2V
- Dynamic

Insertion-cost Heuristic

- Marginal cost of inserting request
- Re-assessed when neighbors change







Experimental Evaluation

Simulation with synthetic (Saint-Étienne) and real data (NYC)







Sample Results

NYC Dataset

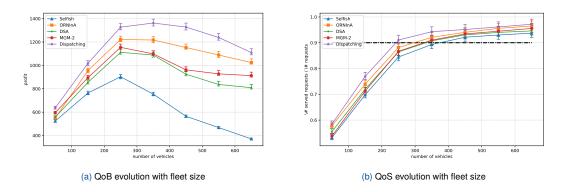
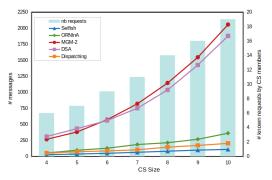


Figure: Solution quality evolution with fleet size

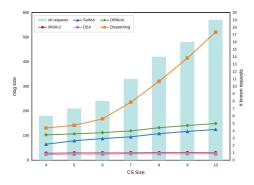


Sample Results (cont.)

NYC Dataset



(a) Average number of messages received by a vehicle in connected set



(b) Average message size received by a vehicle in connected set

Figure: Communication load evolution.





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Illustration 3: Unmanned Aircraft System Traffic Management

Example: Urban UTM Scenario









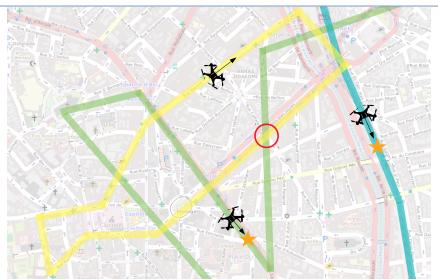






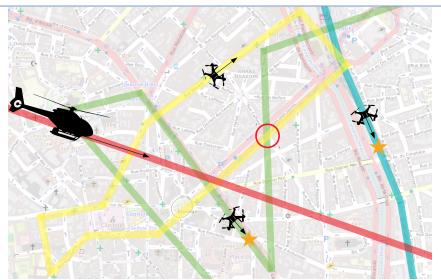






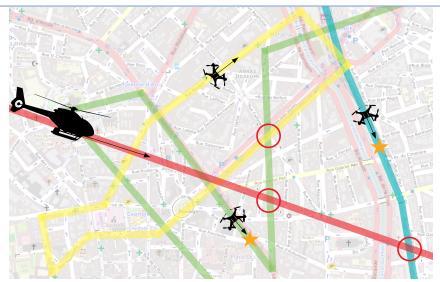
















Context and Vision

Concepts of operations are still work in progress

[FEDERAL AVIATION AGENCY, 2020; SESAR, 2019]

Several challenging optimization problems identified [HAMADI, 2020]





Context and Vision

Concepts of operations are still work in progress

[FEDERAL AVIATION AGENCY, 2020; SESAR, 2019]

Several challenging optimization problems identified [HAMADI, 2020]

Our focus: 4D trajectory repair -

- Free Route Airspace
- Decisions at the UAS level
- UAVs can directly exchange information via V2V communication
- Tactical and reactive coordination mechanisms between several (semi-)autonomous UAS
- Focus on small UAVs able to perform stationary flight and operating at low altitude (between 0m and 300m)





Contributions and Core Concepts

[Hamadi and Picard, 2024; Picard, 2022b]

Generic Autonomous UAV Model

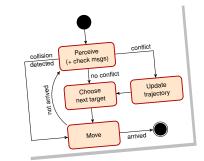
- Adjustable autonomy level
- Pluggable at UAS level
- Adjustable deconfliction protocol

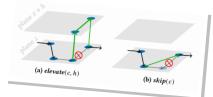
Corrective Actions

- 4D contract update
- Postpone, elevate, skip

Multi-criteria Valuation

- Impact of a corrective action
- Safety, QoS, QoB, etc.

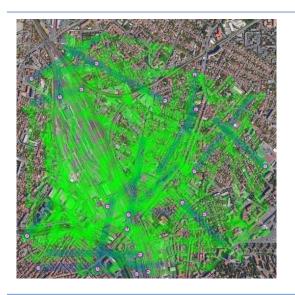








Experimental Evaluation



Environment

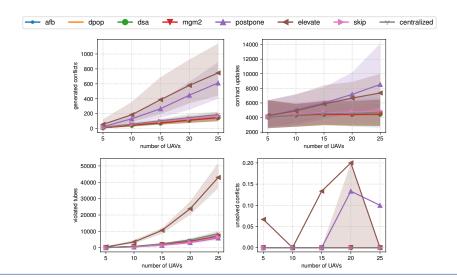
- An area of 2km by 2km, with vertical airspace planes at 20m, 40m and 60m
- $\begin{array}{l} \bullet \; h_{max} = 18m.s^{-1}, v_{max} = 6m.s^{-1}, \\ a_{max} = \Pi/2 \mathrm{rad.} s^{-1}, \\ \Delta h_{\max} = \Delta v_{\max} = 6m.s^{-2}, \\ \Delta a_{\max} = \Pi/2 \mathrm{rad.} s^{-2} \end{array}$
- Initial speed is set to (0,0,0)
- Initial UAV trajectories are randomly generated with 60 way-points
- Safety tubes are defined by (h, v, t) = (30, 15, 1)
- Number of UAVs in {5, 10, 15, 20, 25}





Result Analysis

Without coordination, numerous conflicts and/or some violations

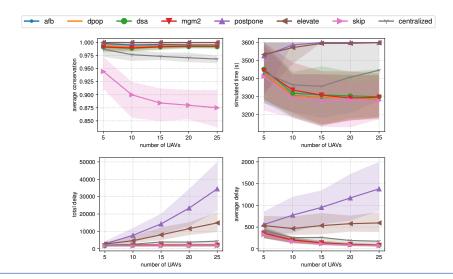






Result Analysis (cont.)

Without coordination, increased delays or reduced QoS

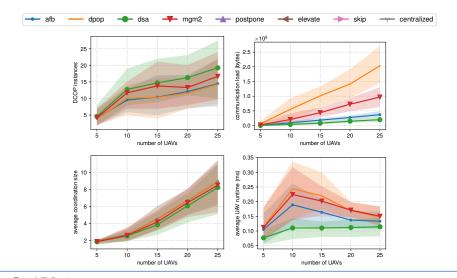






Result Analysis (cont.)

Coordination group size are small \Rightarrow communication/computation overload are limited

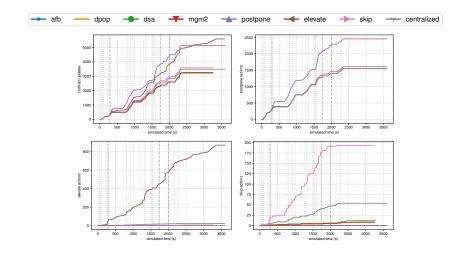






Result Analysis (cont.)

Focus on a specific instance







What About Auctions? And other Decision Criteria?

[HAMADI and PICARD, 2024]

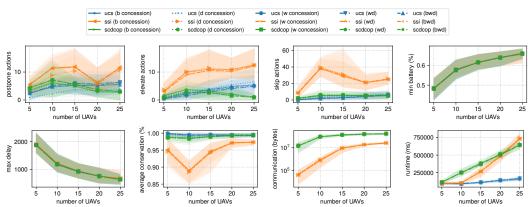


Figure: Average values over 20 instances for several performance metrics with increasing number of UAVs.





What About Auctions? And other Decision Criteria? (cont.)

[HAMADI and PICARD, 2024]

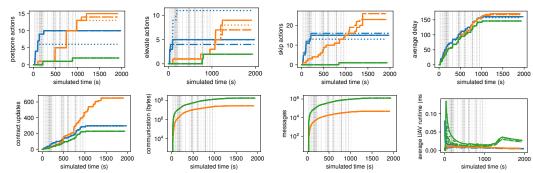


Figure: Results for one simulation with 25 UAVs and 10 emergency procedures (gray dashed) and 46 incidents (gray dotted).



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Conclusions

To sum up... -

- Auctions and DCOPs are powerful tools to install coordination in cooperative collectives
- Many potential applications
 - On-demand transport, UTM, Satellite constellation management, IoT, Smart grids, ...
- Agency as a way to install encapsulation and explanability

To go beyond... ———

- Non cooperative settings
- Hybrid Al: learning and approximating costs
- Security of coordination protocols







References



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